

**The Republic of Mozambique
Ministry of Economy and Finance**

**Maputo Urban Transformation Project Additional
Financing (P 181374)**

**DRAFT
ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

October 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Mozambique (the Recipient) is implementing the Maputo Urban Transformation Project (the Project), with the involvement of the Maputo City Council (MCC). The Project is implemented by a Project Implementation Unit (PIU) as set out in the Grant or Loan agreement. This ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for Project.
2. The Recipient will implement material measures and actions so that the Project (including AF) is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Recipient will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Plans (ESMP), Resettlement Action Plans (RAP), and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by Recipient as required by the ESCP and the conditions of the legal agreement, and the Association will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient or delegate shall agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and the Recipient or delegate. The Recipient shall promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health, and safety impacts, labor influx, gender-based violence.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	<p>Submit quarterly reports to the Association throughout Project implementation, commencing after the Effective Date. Submit each report to the Association no later than 30 days after the end of each reporting period.</p>	<p>Project Implementation Unit (PIU), which is the Office of Strategic Institutional Development (GDEI), the unit established within Maputo City Council (MCC) that coordinates and oversees the day-to-day implementation of the Project.</p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including accidents during civil works and construction activities. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association's request, prepare a report on the incident or accident indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence. An incident register shall be maintained at all construction sites, throughout the duration of the works.</p>	<p>Notify the Association within 48 hours of learning of the incident or accident. A subsequent detailed report would be provided within a timeframe acceptable to the Association, as requested.</p>	<p>PIU</p>
C	<p>CONTRACTORS MONTHLY REPORTS</p> <p>Monthly monitoring reports from the contractors to the Project Implementing Unit would be submitted by the Recipient on Project to the Association upon request.</p>	<p>Contractor Monthly Reports submitted to the Association by the Recipient upon request</p>	<p>PIU</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>The Recipient shall be responsible for the implementation of the ESCP. Maintain the assigned qualified staff and resources to support management of E&S risks including, one (1) Senior Environmental Specialist, one (1) Senior Social Specialist and fill the vacant GBV specialist position to ensure project adherence to the ESF and relevant national policies. In addition, the Recipient shall recruit two E&S field officers.</p>	<p>Throughout Project implementation.</p>	<p>Recipient, MCC Mayor</p> <p>MCC Mayor</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	The Recipient shall establish and implement an interinstitutional coordination structure (Project Multistakeholder Consultative Committee – PMSCC) to facilitate the coordination of key agencies that have direct role as described in the RPF and SEP.	Recruit 1 Gender/GBV specialist and two (2) E&S field officers within 30 days after the Project Effective Date. The organizational structure, including the specialists, shall be maintained throughout Project implementation. Maintain the PMSCC throughout project implementation.	
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT</p> <p>a) An Environmental and Social Management Framework (ESMF) of the parent project will be updated to take into account the activities of the AF. The revised ESMF will be, disclosed, consulted and adopted pursuant to the ESSs, the Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good International Industry Practice (GIIP).</p> <p>b) The Recipient shall update as necessary, disclose and implement the ESMF (including LMP, SEA/GBV action plan, OHS plan, emergency response plan) in a manner acceptable to the Association.</p> <p>c) Prepare, disclose and implement subproject level and site specific ESMP's , as deemed necessary based on E&S screening, as information and preliminary designs become available, in a manner acceptable to the Association.</p>	<p>a) The ESMF was prepared, submitted to the Association for approval, and disclosed on March 13, 2021.</p> <p>b) For the AF the ESMF and RPF will be update and disclosed by FA project appraisal. Site-specific, ESMP's shall be prepared, prior to the implementation of relevant Project AF activities and throughout the duration of the relevant Project activities.</p>	PIU
1.3	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>a) Screen any proposed subproject in accordance with the Environmental and Social Management Framework (ESMF) prepared for the Project. Prepare, adopt, and implement the subproject Environmental and Social Management Plan (ESMP), as well as Local Development and Social Inclusion Plan (LDSIP), as required, in a manner acceptable to the Association.</p> <p>b) Prepare and approve audits of the implementation of all Environmental and Social Instruments required.</p>	<p>a) ESMPs shall be submitted to the Association for approval, approved and disclosed before the launch of the tender procedure for the relevant sub-projects.</p> <p>b) Audits shall be completed approved prior to accepting the completion of</p>	PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	<p>c) If activated, all activities under CERC will be carried out in accordance with the ESF, specific E&S instruments will be prepared, as needed.</p> <p>d) Prepare, adopt and implement a Local Development and Social Inclusion Plan.</p>	<p>sub-projects and closing of the respective contract.</p> <p>c) During CERC preparation and prior to any activity implementation</p> <p>d) Adopted within two years of Parent Project AF Effective Date and Executed throughout Project implementation</p>	
1.4	<p>MANAGEMENT OF CONTRACTORS Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures (LMP), into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts.</p> <p>Include that each works contractors have their specific ESMPs incorporated into the sub-project contract (including community safety, security management, environmental education, traffic management, waste management, OHS guidelines, resource efficiency and pollution control, emergency response, GBV/SEA prevention and response plan, chance find procedures).</p> <p>Ensure that each subproject has independent engineers who will support the CMM in the supervision of ESMP implementation.</p>	<p>During the preparation of the Terms of Reference, Bidding Document(s) throughout the implementation of the Project.</p> <p>Approved contractor ESMPs (including community safety, security management, environmental education, traffic management, waste management, OHS guidelines, Resource efficiency and pollution control, emergency response, GBV/SEA, chance find procedures):</p> <p>Prior to initiating construction of each project or group of subprojects</p> <p>Independent engineers hired prior to initiating construction of each project or group of subprojects</p>	PIU
1.5	<p>PERMIT, CONSENTS AND AUTHORIZATIONS: Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities such as: Environmental license; Water use and effluent discharging license; Construction license; Bush clearing license; Any other licenses as and when required.</p> <p>Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations.</p>	<p>Permits, consents and authorizations obtained prior to initiating activities that require permits, consents and authorizations.</p> <p>Throughout Project implementation.</p>	PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>A draft version of the Labor Management Procedures (LMP) was prepared by the project as part of the ESMF. For AF, the LMP shall be update, adopt, and implemented throughout the project.</p> <p>The requirements of the LMP shall be included in each sub-project contract, as part of the contractor’s ESM</p>	<p>a) The LMP for the parent project was approved and disclosed as part of the ESMF on 13 March 2023.</p> <p>b) The LMP will be updated to include AF activities not later than 30 days from the AF Project Effective Date and in any event before the launch of the tender procedure for the relevant sub-projects. Once approved, it shall be applied throughout Project implementation. Any updates to the LMP shall be submitted to the Association for approval before implementation.</p>	PIU
	Ensure the signing of contracts, including the Code of Conduct, with Direct and Contracted workers following the guidelines of the revised LMP.	Prior to engaging any Project workers and to be maintained and complied with throughout Project implementation.	PIU
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>The Recipient, through the PIU, shall establish, maintain, and operate a grievance mechanism for Project workers, including GBV-sensitive channels for SEA/SH complaints, as described in the LMP (as part of the ESMF) and consistent with ESS2, in a manner acceptable to the Association.</p>	W-GM to be set up prior to the recruitment of workers of each entity working for the Project and to be implemented throughout the Project implementation period.	PIU PIU/ Project Contractors
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>The Recipient shall prepare, adopt, and implement a Health, Safety and Environmental (HSE) plan in line with the World Bank Group Environment, Health and Safety (EHS) Guidelines for construction activities, including aspects of Covid-19 response.</p>	HSE Plan was developed as part of the final LMP specified in 2.1 submitted to the Association for approval and disclosed before the launch of the tender procedure for the first sub-projects.	PIU
2.4	EMERGENCY PREPAREDNESS AND RESPONSE:	Measures on Emergency Preparedness and Response were prepared and disclosed on March 3, 2021 and shall be included in LMP/HSE plan specified in 2.1 and 2.3,	PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	As part of the OHS measures specified in 2.3, include measures on Emergency Preparedness and Response, and ensure coordination with measures under 4.5. Ensure workers and contractors are trained and implement the plan.	submitted to the Association for approval before the launch of the tender procedure for the first sub-projects and maintained throughout the Project implementation.	
2.5	PROJECT WORKERS TRAINING: Implement training of Project workers designed to improve awareness of risks and to mitigate impacts on local communities, as defined in ESMF, LMP and GBV action plan (including Code of Conduct, including on GBV and SEA/H; reports; monitoring and GRM)	Prior to initiating construction, with regular refresher training. Maintained throughout Project implementation.	PIU
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: Prepare, adopt, and implement a Resource Efficiency & Pollution Prevention and Management Plan, addressing SWM issues relevant for the implementation of the new landfill (co-generation of renewable energy, collection and burning of gas also in Hulene, GHG issues, among others); as well as, to promote sustainable use of resources (energy, water and raw materials), caused by pollution from projects activities, and include these measures in the ESMPs to be prepared in accordance with the ESMF, in a manner acceptable to the Association.	Draft Resource Efficiency & Pollution Prevention and Management Plan shall be submitted to the Association for approval prior to the Effective Date. Resource Efficiency & Pollution Prevention and Management Plan to be finalized and submitted to the Association for approval and disclosed before the launch of the tender procedure for the first sub-projects. Once approved, it will be applied throughout Project implementation.	PIU
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY: Adopt and implement measures and actions to assess and manage traffic and road safety risks as required in the ESMF and in the Road Safety Plan.	Prior to the start of the sub-projects' activities and throughout the implementation of the Project.	PIU
4.2	COMMUNITY HEALTH AND SAFETY: Prepare, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities, such as behavior of Project workers, risks of labor influx, response to emergency situations, and include these measures in the ESMPs to be prepared in accordance with the ESMF, in a manner acceptable to the Association.	Prior to the start of the sub-projects and throughout the implementation of the Project.	PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.3	<p>GBV AND SEA RISKS:</p> <p>a) A draft GBV Action Plan is developed for the entire project as part of the Local Development and Social Inclusion Plan, which is included in the ESMF.</p> <p>b) The Recipient shall finalize, adopt, and implement the GBV Action Plan, including the GBV service provider mapping and an accountability and response framework, following the World Bank directives and national GBV legislation.</p> <p>c) Ensure that the Codes of Conduct and GBV prevention provisions are integrated into all contractual and contracting documents (ToRs, TD, and workers' contracts).</p> <p>d) The Contractor's ESMP (C-ESMP) shall include: (i) implementation of SEA/SH Prevention and Response Action Plan and Accountability and Response Framework; (ii) Code of Conduct; (iii) the plan for training workers on SEA/SH.</p>	<p>a) Draft GBV Action Plan (as part of the ESMF specified in 1.2) was approved and disclosed on 13 March 2021.</p> <p>b) The GBV Action Plan shall be updated and finalized to include AF activities and will be submitted to the Association for approval 30 days after Effectiveness.</p> <p>c) Once approved, the GBV Action Plan is to be implemented throughout the life of the Project. Any updates of the GBV Action Plan should be submitted to the Association for approval before implementation.</p> <p>d) C-ESMP validated by PIU and WB only does post review prior to initiating any works, including mobilization and/or pre-construction activities.</p>	<p>PIU</p> <p>PIU/ Project Contractors</p>
4.4	<p>EMERGENCY RESPONSE PLAN:</p> <p>Prepare, adopt and implement an Emergency Response Plan, in accordance with the guidelines of the ESIA prepared for the Project, and in a manner acceptable to the Association</p>	<p>Prior to the start of the sub-projects and throughout the implementation of the Project.</p>	<p>PIU</p>
4.5	<p>SECURITY PERSONNEL:</p> <p>Assess and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities, as set out in the ESMF, guided by the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such personnel.</p>	<p>Prior to engaging security personnel and thereafter implemented throughout Project implementation and This will be part of the ESMP.</p>	<p>PIU</p>
<p>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</p>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
5.1	<p>RESETTLEMENT PLANS:</p> <p>a) A Resettlement Policy Framework (RPF) has been prepared and disclosed by the project, in a manner acceptable to the Association.</p> <p>b) Prepare, adopt, and implement resettlement plans (RAPs) in accordance with ESS 5 and consistent with the requirements of the Resettlement Policy Framework (RPF) that has been prepared for the Project, and thereafter adopt and implement the respective RAPs before carrying out the associated activities, in a manner acceptable to the Association.</p> <p>c) Prepare, adopt and implement RAP for the landfill and for the decommissioning of Hulene Dumpsite, including the Social Inclusion Plan of Waste Pickers, vulnerable groups and marginalized people who currently work and live around the dumpsite (see Section 3.1)</p>	<p>a) The final RPF was approved and disclosed by the Association on 18 Feb 2021. The RPF will be updated to include the AF activities and disclosed by AF project appraisal.</p> <p>b) RAPs should be prepared as soon as the E&S screening of an activity or sub-project indicates the need for land acquisition and/or involuntary resettlement.</p> <p>c) All RAPs should be submitted to the Association for approval prior to its implementation.</p> <p>d) The implementation of RAPs should be a prerequisite for the start of all works with land acquisition and resettlement issues.</p> <p>e) Evidence of RAPs implementation: Prior to initiating construction of each project or group of subprojects</p>	<p>PIU</p> <p>PIU</p>
5.2	<p>GRIEVANCE MECHANISM:</p> <p>An overall GRM is developed for the entire project in the SEP and specified in the ESMF and RPF, however, when drawing up any RAPs, ensure that this GRM is adapted to be proportional to the extent and location of the resettlement.</p>	<p>Prior to commencement of resettlement activities and maintained throughout project implementation.</p>	<p>PIU</p>
5.3	<p>MONITORING AND REPORTING:</p> <p>Ensure that monitoring and reporting on land acquisition and resettlement activities are conducted separately or as part of regular reporting.</p> <p>Undertake a close out audit of the RAP(s) and address any outstanding items before RAP closure.</p>	<p>Throughout Project implementation, as part of regular reporting.</p> <p>Prior to commissioning of each subproject or group of subprojects</p>	<p>PIU</p> <p>PIU</p>
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS:</p>		<p>PIU</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	Prepare, adopt, and implement a Management Plan for Protected Areas in KaTembe, in accordance with the guidelines of the ESIA/ESMF prepared for the Project and ESS6, and in a manner acceptable to the Association, to address Climate Change, flood risk and biodiversity issues in KaTembe, promoting good practices that integrate conservation needs and development priorities.	Developed during the first year and prior to the start of the sub-projects activities related to KaTembe and implemented throughout the implementation of the Project. The Plan shall be submitted to the Association for approval".	
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	NOT RELEVANT		
ESS 8: CULTURAL HERITAGE			
8.1	CHANCE FINDS: Prepare, adopt, and implement the chance finds procedure described in the ESMF developed for the Project: (i) ensuring that incidental discovery clauses are included in all work contracts; (ii) Apply the incidental discovery procedures in accordance with ESS8 and national legislation.	Before the start of construction/rehabilitation activities. Procedures applicable throughout Project implementation.	PIU
8.2	CULTURAL HERITAGE MANAGEMENT PLAN: Prepare, adopt, and implement a Cultural Heritage Management Plan, according to outputs from consultation with local authorities and the national heritage institution during the design of the specific interventions, particularly regarding the drainage civil works in City's Center of Maputo.	Developed prior to the start of the sub-projects and implemented throughout the implementation of the Project.	PIU
ESS 9: FINANCIAL INTERMEDIARIES			
	NOT RELEVANT		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION: <ul style="list-style-type: none"> a) A SEP has been developed under the parent project in accordance with ESS10. b) Update the SEP periodically, whenever necessary and justified by the identification of new stakeholders and/or people affected by the Project and their main needs. c) Report periodically the SEP implementation, as part of regular reporting. 	<ul style="list-style-type: none"> a) The SEP was approved and disclosed by the Association on February 12, 2021. The Project SEP has been updated to include AF activities prior to AF appraisal. b) Once validated, it will be applied and maintained throughout the implementation of the project. 	PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
		c) Any updates to this SEP must be submitted to the Association for approval before the implementation.	
10.2	<p>PROJECT GRIEVANCE MECHANISM:</p> <p>The Grievance Redress Mechanism (GRM) has been developed as part of the Stakeholder Engagement Plan (SEP) with multiple channels to initiate a GBV and in particular SEA/H complaint. It must include gender and GBV-sensitive reporting and referral mechanisms to address any allegations of GBV and in particular SEA/H. Implement the GRM as described in the (SEP).</p>	<p>The GM for the parent project has been established and disclosed as part of the SEP on 12 February 2021. The parent project GM shall be extended to the AF Project activities.</p> <p>Any GRM update should be submitted to the Association for approval before the implementation. Once approved, ensure operationalization and follow-up throughout project implementation.</p>	PIU
CAPACITY SUPPORT (TRAINING)			
CS1	<p>Training to be provided to the Project Workers (non-exhaustive list):</p> <ul style="list-style-type: none"> • PIU: contract management and monitoring • Mapping and Engagement of Stakeholders • GRM • OHS for workers • Health and safety for workers • Emergency preparedness and response • GBV and child protection awareness • SEA/SH risk mitigation • Awareness and prevention of sexually transmitted infections: HIV/AIDS, etc. • Awareness of barriers to the spread of Covid-19 • Handling and managing hazardous wastes • Chance finds • Avoiding impacts on natural habitats 	Before the start of activities and throughout the life of the Project	PIU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
CS2	<p>Training to be provided to the Local Community (non-exhaustive list):</p> <ul style="list-style-type: none"> • Mapping and Engagement of Stakeholders • GRM • Health and safety for communities • Emergency preparedness and response • GBV and child protection awareness • SEA/SH risk mitigation • Awareness and prevention of sexually transmitted infections: HIV/AIDS, etc. • Awareness of barriers to the spread of Covid-19 • Legal rights • Financial literacy • Cultural and natural heritage conservation 	Before the start of activities and throughout the life of the Project	PIU